UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF CALIFORNIA

GEN-PROBE INCORPORATED.

Plaintiff,

VYSIS, INC.

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Defendants.

CASE NO. 99CV2668 H (AJB)

PROTECTIVE ORDER

September 15, 2000

Time: 9:30 a.m. Dept.: Courtroom A

Trial Date: Not Yet Set

WHEREAS, in the course of this litigation disclosure may be sought of information which a party or third party regards as being of a confidential, trade secret, proprietary, technical, commercial, or financial nature (hereinafter collectively referred to as "Confidential Information"); and

WHEREAS, the parties, GEN-PROBE INCORPORATED ("GEN-PROBE") and VYSIS. INC. ("VYSIS") desire to establish a mechanism to protect the disclosure of Confidential Information;

IT IS HEREBY ORDERED that the following shall govern the disclosure of Confidential Information in this action:

All originals or copies of transcripts of depositions, exhibits, answers to 1. interrogatories and requests for admissions, and all documents, materials, tangible things and

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information obtained by inspection of files or facilities or hy production of documents (herein	after
collectively referred to as "Information") which sets forth, refers to, or contains any Confiden	tial
Information, may be designated by the party producing the Information either as "CONFIDENT	TAL.
[producing party's name]" or as "CONFIDENTIAL - [producing party's name] - ATTORNEYS ON	LY"
(i.e "CONFIDENTIAL - GEN-PROBE - ATTORNEYS ONLY" or "CONFIDENTIAL - VYSIS - ATTOR	NEYS
ONLY ").	

- 2. Any Information designated as CONFIDENTIAL or CONFIDENTIAL ATTORNEYS
 ONLY and all Information derived therefrom (excluding such Information as is derived lawfully
 from an independent source), shall not be disclosed to anyone except as provided in Paragraphs 3,
 4 and 5, below, shall be used only for the purposes of this litigation, and shall not be used for any
 business, financial or other purpose whatsoever.
- 3. Information designated as Confidential shall not be given, shown, made available or communicated in any way to any person or entity other than the following:
 - (a) Lawyers for Gen-Probe:
 - (i) Cooley Godward LLP
 - (ii) R. William Bowen. Jr.
 - (iii) Peter R. Shearer
 - (iv) Christine A. Critzmacher
 - (b) Lawyers for Vysis:
 - (i) Finnegan, Henderson, Farabow, Garrett & Dunner LLP
 - (ii) Wright & L'Estrange
 - (iii) Norval B. Galloway
 - (c) Partners, members, associates, or employees of any of the foregoing lawyers assisting in this litigation;
 - (d) The Court and Court personnel and stenographic reporters at depositions taken in this action:
 - (c) The following individuals:

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- (i) Officers, directors and up to three designated employees of GEN-PROBE; PROVIDED, HOWEVER, that GEN-PROBE must designate such employees and give notice to VYSIS of such designation not later than 10 days prior to the disclosure of any Confidential Information to such person;
- (ii) Officers, directors and up to three designated employees of VYSIS;

 PROVIDED, HOWEVER, that VYSIS must designate such employees and give notice to GEN-PROBE of such designation not later than 10 days prior to the disclosure of any CONFIDENTIAL Information to such person:
- Independent experts or consultants whose substantive advice is or will be used by a party hereto in connection with preparation for trial or trial of this action, as well as any employees, associates or independent contractors retained by those experts to assist in their work on this matter. Counsel desiring to disclose Confidential or Confidential - Attorneys Only Information to such experts or consultants shall first obtain a signed undertaking, in the form of Exhibit A attached hereto, from each such expert or consultant. Such Information will not be disclosed to any such expert or consultant for a period of ten (10) days after service by facsimile, Federal Express or other next day mail of the signed undertaking upon opposing Proposing counsel shall also provide opposing counsel with counsel. information regarding the identities of the proposed experts or consultants, including their names, address and job titles, the name and addressees of their employers and a current curriculum vitae including a list of all persons or entities for whom such persons consulted or from whom they received income directly or indirectly during the prior four (4) years:

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- (g) Any person that originally authored or received the document, or gained knowledge of the Information it contains in the regular and ordinary course of business; and
- (h) Independent contractors retained to assist with non-substantive aspects of the preparation for trial or trial of this litigation (e.g., copying services, graphics services, jury consultants, etc.).
- 4. Information designated as CONFIDENTIAL ATTORNEYS ONLY shall not be given, shown, made available or communicated in any way to any person or entity other than those persons described in paragraphs 3(a), 3(b), 3(c), 3(d), 3(f), 3(g), and 3(h) above.
- 5. Third party discovery in this proceeding may involve disclosure of Confidential Information, which if designated in conformity with the provisions of this Order, shall be subject to the provisions herein and provide the non-party with all of the rights and obligations set forth herein. In order to expedite third party discovery, a copy of this Order and a letter generally informing the third party of its right to invoke the protections set out herein shall be served with all such discovery.
- 6. In the event that a producing party inadvertently fails to designate Information CONFIDENTIAL or CONFIDENTIAL ATTORNEYS ONLY or incorrectly so designates Information, that party may make a late designation or change the designation by so notifying in writing all parties to whom the Information has been disclosed. The receiving parties shall take reasonable steps to ensure that the Information is thereafter treated in accordance with the designation. Late designation shall not be deemed a waiver of the confidential status of the late designated Confidential Information. No person or party shall incur any liability hereunder with respect to disclosure that occurred prior to the receipt of written notice of belated designation.
- 7. If an opposing party desires to object to the submission of CONFIDENTIAL or CONFIDENTIAL ATTORNEYS ONLY Information to individuals identified in paragraph 3(1), it shall notify the proposing party in writing and by facsimile transmission (with original sent by First Class Mail), within the ten (10) day period referred to in paragraph 3(f) of its objection and the

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grounds therefore. If no such objection is made in such time and manner, the proposing party may disclose CONFIDENTIAL or CONFIDENTIAL - ATTORNEYS ONLY Information to such person subject to the subsequent provisions in this paragraph. If an objection is properly made and the dispute is not resolved on an informal basis between the proposing and objecting party, the proposing party shall, within twenty (20) days after such written objection, submit the matter on motion to the Court for ruling. In the event of such written objection, the proposing party shall withhold disclosure of Confidential or Confidential - Attorneys Only Information to the objected individuals pending the ruling of the Court or written agreement between the parties to the dispute.

- 8. The attorneys of record shall maintain a file of all written agreements signed by persons to whom they have given materials designated as Confidential or Confidential. Attorneys Only. Said file shall be made available upon request for inspection and copying by any attorney of record.
- Confidential Attorneys Only to a witness testifying at a deposition except in strict conformity with the provisions of this Order. No such disclosure shall be made to any witness unless that witness is entitled by this Order to receive that Information or the party that produced that Information assents to the disclosure of such Information in writing or on the record of the deposition. If, during the course of any deposition, (a) an attorney of record for any party desires to make inquiry into Information subject to the designation Confidential or Confidential Attorneys Only, or (b) an attorney of record for a party asserts that an answer to a specific inquiry is subject to the foregoing designations, the attorney shall make such inquiry only in the presence of those persons authorized access to such Information. Such testimony shall be sealed, and the parties hereto shall treat it subject to the provision for disclosure set forth herein. Nothing in this paragraph shall preclude counsel at a deposition of a party witness from disclosing to the party witness confidential information produced by that party.

- deemed Confidential Attorneys Only until the expiration of thirty (30) days after the mailing or after delivery of a copy of the transcript of the testimony by the court reporter to counsel who requested a copy of the transcript. This paragraph will not otherwise affect the deposition, hearing or other proceeding which is being recorded while it is in session. Within the thirty-day period following such mailing of the transcript, any party may, by written notice served on all parties, designate all or any portion of the testimony to be Confidential or Confidential or Confidential Attorneys Only. The right to make such designation shall be waived unless made before the end of the thirty-day period. Upon being informed that certain portions of a transcript are designated as Confidential or Confidential Attorneys Only, each party must cause each copy in their custody, possession or control to be so marked immediately.
- agree, before transcribing any such testimony, that all CONFIDENTIAL and CONFIDENTIAL.

 ATTORNEYS ONLY TESTIMONY is and shall remain confidential and shall not be disclosed except as provided under this Order and that copies of any transcript, reporter's notes or any other transcription records of any such testimony shall be retained in absolute confidentiality and safekeeping by such shorthand reporter or shall be delivered to an attorney of record or filed with the Court.
- Confidential or Confidential Attorneys Only shall be delivered to the attorney of record propounding the interrogatories or requests without being filed with the Court unless required in any further proceedings herein. When documents so designated and/or other matters of the same or similar nature are the subject of inquiry at depositions, the portion of the transcript which sets forth or contains Information about such, together with such documents, shall be sealed and shall not be filed with the Court unless required in any further proceedings herein.
- 13. No Information that was designated previously as CONFIDENTIAL or CONFIDENTIAL ATTORNEYS ONLY will be filed with the Court unless it is filed under seal. To

comply with this requirement, CONFIDENTIAL or CONFIDENTIAL - ATTORNEYS ONLY Information must be filed in sealed containers labeled with: (1) the title to this action; (2) the general nature of the contents; (3) the words CONFIDENTIAL or CONFIDENTIAL - ATTORNEYS ONLY; and (4) a statement substantially in the following form:

Confidential - Subject to Protective Order. This scaled container filed in this case. Gen-Probe Incorporated v. Vysis. Inc., United States District Court, Southern District of California Case No. 99cv2668II (AJB), contains confidential materials, which may be used only in connection with the prosecution or defense of this lawsuit. Pursuant to Protective Order, the container shall not be opened nor the contents thereof revealed except to the Court. After any such opening or revelation, the container shall be resealed with the contents inside.

Nothing shall be filed under seal, and the court shall not be required to take any action, without separate prior order by the judge before whom the hearing or proceeding will take place, after application by the affected party with appropriate notice to opposing counsel.

- CONFIDENTIAL or CONFIDENTIAL ATTORNEYS ONLY, or any summary thereof or excerpt therefrom, during the trial of or at any hearing in this action, counsel shall, prior to such use, bring the confidentiality thereof to the attention of the Court and/or the party which designated the Information. Counsel for the producing party may request that any portion of the transcript or exhibit containing such Information be filed under seal with the Court, and be accorded protection as provided by the terms of this Order. All persons present at the time of such use shall be directed to treat such Information as Confidential Information, and counsel for the parties shall exercise all reasonable care not to disclose such materials needlessly in the public record of this proceeding nor to persons not entitled under this Order to receive such Information.
- 15. The designation by counsel for the disclosing party of any Information as constituting Confidential Information is intended solely to facilitate the preparation and trial of this case, and such designation shall not be construed in any way as an admission or agreement by any

- (including exhaustion of all appeals), settlement or otherwise each attorney of record shall promptly deliver to the party or witness from whom obtained either (1) all items which have been marked Confidential or Confidential Attorneys Only and all copies made thereof or (2) an affidavit sworn under penalty of perjury declaring that all such items and all copies thereof have been destroyed. However, the law firm of each attorney of record may retain one record copy of any items filed with the Court upon notice to the other attorneys of record of such retention and subject to the terms of this Order.
- ATTORNEYS ONLY as applied to specific Information, it shall serve its objections in writing and by facsimile transmission (with original sent by First Class Mail). If the objections are not resolved on an informal basis between the designating party and the objecting party, the objecting party may, within twenty (20) days after service of such written objection, submit to the Court for ruling a noticed motion to be relieved entirely or in part from the provisions of this Order.
- 18. In the event anyone shall inadvertently disclose Information another party or third party has designated Confidential or Confidential. Attorneys Only, the party making the inadvertent disclosure shall, upon learning of the disclosure:
- (a) Promptly notify the person to whom the disclosure was made that the disclosure contains Confidential Information;
- (b) Promptly make all reasonable and necessary efforts to obtain the return of and preclude dissemination or use of the Confidential Information by the person to whom disclosure was inadvertently made; and
- (c) Immediately notify the producing party of the identity of the person to whom the disclosure was made, the circumstances surrounding the disclosure, and the steps that

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have been taken and will be taken to ensure against further dissemination or use of the Confidential Information.

parties hereto agree that the aggrieved party may immediately apply to obtain injunctive relief against any such person, and in the event the aggrieved party shall do so, the respondent person, subject to the provisions of this Order shall not employ as a defense thereto or claim that the aggrieved party possesses an adequate remedy at law.

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JUDGE OF THE DISTRICT COURT

EXHIBIT A

	UNITED ST	ATES DISTRICT COURT	
	SOUTHERN D	ISTRICT OF CALIFORNIA	
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GEN-PROBE	INCORPORATED,	No. 99cv2668 H (AJB)	
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	Plaintiff,	UNDERTAKING	
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VYSIS, INC.,			
V 1313, 114C.,			
	Defendant.		
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3.		e Order entered Gen-Prohe Incorpore	
Case No. 99c		f the Protective Order has been given to	
4.	I agree to be bound by	the terms of the Protective Order, a	and agree that

27 meaning of the Protective Order, will be used by me only to assist counsel in connection with the

above-referenced litigation.

Case No. 99CV2668 H (AJB)

- 5. I agree that I will not disclose or discuss Information designated as CONFIDENTIAL. with anyone other than the persons described in Paragraph 3 of the Protective Order.
- 6. I agree that I will not disclose or discuss Information designated as CONFIDENTIAL

 ATTORNEYS ONLY with anyone other than the persons described in paragraph 4 of the Protective
 Order.
- 7. I understand that any disclosure or use of Information designated as CONFIDENTIAL and CONFIDENTIAL ATTORNEYS ONLY in any manner contrary to the provisions of the Protective Order will subject me to sanctions for contempt of the Court's Order.
- 8. I agree to be subject in personum to the jurisdiction of the United States District Court for the Southern District of California in connection with any proceeding relating to the enforcement of the Protective Order.

I dec	clare u	nder penalt	y of perju	ry that	the	fore	going	is	true	and	correct	and	that	this
leclaration	was	executed	this		da	ay (of _		<u>.</u>			_•	2000	a.رو

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